

New York Marketplace
Implementation Review
Section 11.0 Oversight and Monitoring
August 13, 2013

<u>Item Number</u>	<u>Topic</u>
11.3	Financial Integrity

Finalized policies and procedures that address financial integrity requirements, including accounting, reporting, auditing, cooperation with investigations, and application of False Claims Act (upon request)

The NY Health Benefit Exchange to date has implemented through the Audit Department meetings with all Operation areas within the Exchange. Outlined all potential areas of risk and has documented an Audit Plan to audit certain processes pre and post implementation of the Exchange. These audits will commence the third week of August and anticipate completing the initial audit pre-go live date of October 1, 2013.

Financial Management has in draft format all Policy and Procedures for all accounting areas within the Exchange. All Policy and Procedures will not be finalized until the testing of the accounting system is complete which is targeted for 9/20/13.